1 An agent-based model of hierarchical information-sharing 2 organizations in asynchronous environments

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Stan L. Rhodes^a, Stefani A. Crabtree^a, and Jacob Freeman^b

4 ^aDepartment of Environment and Society, Utah State University, Logan, UT, United States;

5 bAnthropology Program, Utah State University, Logan, UT, United States

6 Abstract

7 Most organizations use command hierarchies—the type of hierarchy depicted in a common 8 organizational chart—but it is not well understood why and how environments make this 9 structure useful. One possibility is that command hierarchies provide positive net benefits when groups of agents must respond to changes in the environment, particularly when 10 11 each group member's local conditions are similar and more synchronous. We ask: How 12 does the performance of hierarchical groups vary with changing environments? We build 13 an agent-based model to better understand the strengths and weaknesses of hierarchy for 14 groups faced with these changes in space and time. In these environments, a local worker 15 has more information about local conditions, but a manager has more information about 16 overall conditions. We show that command hierarchy outperforms non-hierarchy in many 17 synchronous and asynchronous environments, including those where local conditions 18 differ substantially and would seem to make a manager's "big picture" input much less 19 useful to workers. In these more asynchronous environments, a manager's view of overall 20 conditions does give useful information to workers, with crucial caveats: workers must 21 have the autonomy to judge the accuracy and relevance of manager input to their local 22 work, or they perform worse than non-hierarchical groups. This autonomy enables the 23 organization to learn. Relatedly, we also find increased agent memory is important for 24 performance in all environments. Our model reveals that environments that vary locally 25 can cause unavoidable tension between the views of front-line workers and managers, or 26 local offices and head offices; even perfect agents find themselves in an inevitable 27 computational dilemma. The best organizational strategy to manage this dilemma is 28 continuing to provide manager input while enabling some degree of worker autonomy. 29 Keywords: hierarchy, environmental changes, agent-based model, local information,

30 management, organizational memory

31 Introduction

- 32 A key criticism of organizational hierarchy is that when local information is scaled up and
- 33 aggregated, it may lose critical local patterns, result in unrealistic assumptions (Gupta 2008
- in Young 2008), or result in a mismatch between management expectations and local
- 35 context (Durose 2009). But is this inevitable, and how much does the environment matter?

- 36 Most organizations with hierarchy *must* coordinate among local and higher-level entities,
- 37 such as non-supervisory and supervisory employees—here called workers and
- 38 managers—or local and head offices. In these organizations, a local worker has more
- 39 information about local conditions, but a manager likely has more information about
- 40 overall conditions. Practical formalizations of command hierarchy—e.g., organizational
- 41 charts, chains of command—require effort in creation and maintenance; these costs
- 42 suggest hierarchy must confer some benefits in some situations. One such class of
- 43 situations may be environments where groups must deal with environmental change. To
- 44 assess whether information flow in a command hierarchy generates benefits that outweigh
- 45 the costs of forming and maintaining command hierarchies in dynamic environments, we
- 46 build and analyze a computational model.
- 47 Hierarchical organizations are ubiquitous in human culture (Bas & Sebastian-Galles 2021;
- 48 Thomsen 2020; Guinote, Cotzia, Sandhu, & Siwa 2015; Halevy, Chou, & Galinsky 2011), yet
- 49 what researchers mean by "hierarchy" varies. Zafeiris and Vicsek (2017; revising Lane
- 50 2006), provide a useful 3-category typology based on different arrangements or relations
- among entities: 1) order, as in an ordered set or ranking; 2) nested, where entities are
- 52 within entities, including specific-to-general classifications like taxonomies and systems-in-
- 53 systems; 3) control or flow, where relations between entities form an acyclic, directed
- 54 graph of influence, in whole or part. In the context of human organizations, we prefer to call
- 55 the control/flow type of hierarchy a *command hierarchy* so that full obedience is not
- 56 implied. Although people inhabit organizations that simultaneously exhibit all of these 57 types of hierarchy, an essential property of organizations is their governance of action
- 57 (Schatzki 2006), and command hierarchy via superordinate and subordinate roles is the
- 59 relational structure that defines the formal structure of decision-making in most
- 60 organizations (Scott & Davis 2007). This role-based command structure—versus levels of
- 61 ranked relations of social prestige—also existed in administrative structures thousands of
- 62 years ago (Duncan 2021; Papazian 2013; Nissen, Damerow, Englund, Larsen 1993),
- 63 indicating a deep history of command hierarchies in human organizational behavior.
- 64 We focus on command hierarchy in this research to investigate how its structure affects
- 65 group performance. Rank hierarchy is of less importance to operational decision-making:
- 66 Bob's boss Alice commands him because of her place directly above him in the command
- 67 hierarchy, not because of her rank in the organization more broadly. Nested hierarchies in
- 68 organizations are not so much social relations but groupings of positions that *result* from
- 69 command structures with purviews: the Chief Information Officer necessarily has a
- 70 different branch of the organization than the Chief Operating Officer, with different nested
- subunits within it. Thus, rank and nested hierarchies have less relevance than command
- hierarchies in understanding ground-level decision-making in organizations. Further,
- focusing on command hierarchy alone allows greater analytical clarity in understanding its
- 74 particular role within the decision-making of organizations.
- 75 We recognize that there are multifaceted influences within command hierarchies, including
- the social power of workers and supervisors alike. However, to disentangle any structural
- benefits of command hierarchy from issues of social power, we eliminate social power
- within the model to focus on the structure command hierarchy creates. For the purposes of
- our inquiry, we define command hierarchy as hierarchical information flow among

- 80 decision-makers. The presence of decision-makers keeps this a *social* inquiry; if they could
- 81 not decide, they would be nothing more than actuators in a control system.
- 82 If the worker-view versus manager-view is an inherent tension in command hierarchies,
- 83 we should see that tension emerge in a model where environmental changes are navigated
- by a "perfect" organization—one where the people are equally competent, without any
- 85 intention to free-ride or capture resources, with perfect communication ability, and with
- 86 perfect recall. We now review the simplest set of factors we see as necessary to build such a
- 87 model, and how the model relates to previous agent-based models of hierarchy.

88 Local knowledge

- 89 Workers incorporate local knowledge into their decision-making in ways that matter for
- 90 organizational performance. Plant workers (Colombo & Delmastro 2004), public workers
- 91 (Durose 2011), and international workers (Eckhard 2021) have all been found to use local,
- 92 often tacit, knowledge to adapt their work to local conditions. Further, local knowledge is
- 93 important in human organizational systems at different scales (for example, in
- 94 anthropology, see Scott 1998; Boyd et al. 2011; Acheson 2011; Romano et al. 2020; and in
- commons research see Young 2008; Cosens & Gunderson 2018).

96 Organizational memory

- 97 Organizational memory is a key factor in understanding command hierarchy where
- 98 workers and managers learn a changing environment. In practice, organizational memory
- 99 is "written" with shared knowledge among interpersonal networks (Siciliano 2015, Hardt
- 100 2019), business processes and their cues (Kluge & Gronau 2018), expertise, databases, and
- 101 documentation (Fiedler & Welpe 2010, Hardt 2019), to name a few. More abstractly,
- 102 organizations create knowledge by putting this experience in context (Argote & Miron-
- 103 Spektor 2011), and they demonstrate learning when encoded experiences influence their
- 104 behavior (Greve 2017). Taken altogether, these may be seen as a functionalist perspective
- 105 on organizational memory as opposed to interpretive, performative, or critical (Foroughi,
- 106 2020) views. While the functionalist view may be considered a managerialist
- 107 preoccupation with the utility of memory for organizational performance (Rowlinson,
- 108 2010), it remains the most appropriate approach for understanding command hierarchy
- 109 where, indeed, we are interested in both the effect of managers and organizational
- 110 performance. Thus, we model organizational memory by having individual memories that,
- 111 when interacting together, influence organizational performance.

112 Communication

- 113 Communication is essential for better group performance and cooperation (Pavitt 2018;
- 114 Janssen et al. 2014; Balliet 2010; Sally 1995). More broadly, organizations enable and
- 115 constrain collective action through communication (Kuhn & Ashcraft 2003; Cooren et al.
- 116 2011) that generates common understandings and trust-building (Ostrom 2005), reveals
- 117 preferences (Ertac & Gurdal, 2019), links knowledge (Eckhard 2021), forms organizational
- 118 memory (Fiedler & Welpe 2010), and makes leadership possible (Glowacki & von Rueden

- 119 2015). Thus, communication lies at the heart of organizations and organizational
- 120 processes.

121 Autonomy

- 122 Generally, effective organizational decision-making depends on the collocation of
- 123 uncovered information and the right to act on that information (Chang & Harrington 2006;
- 124 Ostrom 2005). Autonomy enables workers to employ local knowledge and inter-
- 125 organizational communication to influence performance.

126 Changing environments

- 127 There is a strong need in organizational science to understand, theoretically, how and
- 128 under what conditions organizations persist in the face of environmental transitions while
- 129 others do not (O'Reilly & Tushman 2008), what tradeoffs organizations make in adapting to
- 130 changing environments (Hong & Lee 2018), and how organizational adaptation emerges
- 131 (Chaffin 2014). To further address this gap, we focus on changing environments—both in
- 132 time and space—rather than static, rugged landscapes (e.g., Levinthal 1997, Chang &
- 133 Harrington 2000, Ornstein et al. 2020).
- 134 Many types of organizations—and networks of organizations—face challenges with
- 135 changing environments, both biophysical and social, and usually some combination of the
- 136 two. The impacts of climate change on human organizations provides one entry point for
- 137 considering of the diversity of organizations that must deal with these challenges. These
- include natural disasters (Stute et al. 2020), wildfire regimes (Yocom Kent et al. 2017),
- seasonal park visitation (Horne et al. 2022), proliferation of invasive species (Liebhold
- 2012), shifting production of crops (Mehrabi & Ramankutty 2019), and supply chain
 shocks (Baldwin & Freeman 2022). These are all quite different, but share a core of
- 142 environmental change that, while not homogenous, was found to have some synchrony
- 143 where external information could be useful for making local decisions. For example, insect
- 144 outbreaks tend to be spatially and temporally synchronized (Liebhold 2012), while wildfire
- regimes have synchrony that includes more variable local influence (Yocom et al. 2017).
- 146 Thus, many fields show a need for a foundational, context-agnostic understanding of
- 147 organizations dealing with environmental changes, particularly changes that vary in
- 148 synchrony among different locales. Agent-based models are particularly suited for this type
- of abstraction, both to act as a boundary object to bridge fields, and to act as a base where
- 150 context-relevant complexities can be added.
- 151 Changing environments have received some attention in work using models to understand
- 152 organizations and command hierarchy. This ranges from small roles, such as a single
- 153 changing state (Zafeiris & Vicsek 2017), to larger billing such as environments of
- 154 information (Van Zandt & Radner 2001; Meagher et al. 2003) patterns of identical
- 155 problems (Epstein 2003) and varied resource patchiness and clustering (Hooper et al.
- 156 2018). Roughly, these models may be divided into two categories: those with benefits from
- 157 information sharing/co-processing (Zaifeiris & Vicsek, 2017; Meagher et al. 2003; Van
- 158Zandt & Radner 2001) versus those with benefits from reduced coordination costs (Hooper
- 159 et al. 2018; Epstein 2003).

- 160 Models that consider information sharing and co-processing just happen to have simpler
- 161 environments that do not exhibit varied local conditions, leaving an important gap for us to
- address. Our approach builds on this previous work by taking varied and/or changing
- 163 environments, similar to Epstein (2003) and Hooper et al. (2018), and considering them
- solely from a perspective of organizations engaging in beneficial information sharing,
- similar to Meagher et al (2003) and Zaifeiris and Vicsek (2017).
- 166 A model to investigate the tension between local versus broader views
- 167 Our suspicion is that organizations, on the whole, cannot avoid making tradeoffs in trusting
- 168 and weighing local perceptions versus broader-view manager perceptions. These tradeoffs
- should be visible in the performance of groups in at least some types of changing
- 170 environments. This leads us to one primary, and two related, research questions:
- 171 1. In which environmental conditions (i.e., synchronous vs. asynchronous) does command172 hierarchy affect group performance, and how?
- 173 2. How important for group performance is agent autonomy in following (or not) the174 manager's input?
- 175 3. How important for group performance is the weight agents put on the manager's input
- 176 versus their own experience?

177 Methods: Model

178 Purpose and overview

- 179 The goal of this model is to examine whether command hierarchy improves group
- 180 performance for organizations where each worker faces changing local environments. We
- 181 assume all agents are similarly limited in their capacities, which necessarily means that a
- 182 local worker has more information about their own local condition than other workers, but
- a manager has more information about overall conditions. Workers in this environment
- 184 make decisions about binary problems based on their memory of their local environment,
- and receive additional input from a manager who has memory about the overall past states.

186 The environment as a landscape of problems

- 187 We create an environment that is simple while still making it possible for agents to
- 188 experience different local environments in space and time. We first create a strip of cells
- 189 where each one worker stands on one cell. Each worker's cell is their local state: that state
- 190 is one of two binary values, and can change its value on each time step of the model. Static
- 191 environments are of no interest, so the simplest environment in our set is a synchronous
- 192 environment where all local states are the same in space and change their values
- 193 simultaneously over time. These environments have little complexity, since every lane is a
- 194 duplicate of its neighbor lanes. To create more complex environments, we modify the
- 195 landscape by delaying or inverting the onset of environmental changes the agents
- 196 experience relative to one another. In Figure 1 we visualize the one-dimensional world

- agents experience over time as two-dimensional landscapes, and show how simple
- algorithmic landscape modifications result in local conditions becoming asynchronous withone another.



200

Figure 1. Environment patterns in time and space. Each timestep for the group is one

- 202 column within a pattern, each row is a series of problems—one per timestep—for a locale
- that a particular worker will encounter; each of the patterns shown have 6 lanes and would
- be a 6-worker environment. This selection of small submatrices from the simulated
- 205 environments shows the differences in asynchrony with different parameter combinations.
- The set of environments we use in the model are larger, and each is much longer than those
- shown here. Left-to-right direction: inverted lanes percent-as-decimal. Top-to-bottom
- 208 direction: no delay asynchrony (parameter off) and delay asynchrony (parameter on).
- 209 Each cell "problem" is a 0 or 1; each worker has its own lane of problems. When landscapes
- are synchronous, problem transitions happen across lanes simultaneously. When
- 211 landscapes are asynchronous, that asynchrony is controlled by two parameters (see Figure
- 1): 1) *delay asynchrony*, which is whether to stagger (delay in transition) the problems
- among the lanes, so that the transition hits each worker at a different time; 2) *inverted*
- *asynchrony*, which is the fraction of lanes where problems are inverted to the opposite
- value, i.e., where the original 0s are made into 1s, and original 1s are made into 0s.
 Staggering transitions among workers is a milder form of asynchrony, whereas inver
- 216 Staggering transitions among workers is a milder form of asynchrony, whereas inverted 217 rows represent very different local sub-environments from the overall environment. By
- 217 rows represent very different local sub-environments from the overall environment. By 218 varying these parameters we can create increasingly asynchronous environments with
- 218 varying these parameters we can create increasingly asynchronous environments with
- either delayed environmental changes across agents, or some local conditions that are the
- inverse of the overall environment, or both.

221 Agents as problem-solvers on the landscape

- In this model, each agent must solve one problem per round by correctly predicting the
- incoming local environmental state. Agents—both workers and managers—have no ability
- to foresee future problems, so they must rely on their memory of past problems and on
- input from others, as shown in Figure 2 boxes A and B. Agents have memories which store
- the last n problems they have seen (their memories are 3 slots to 9 slots long). When
- deciding on a strategy for the next problem, they consult their memory and any inputs
- (i.e., advice from the manager) and take the statistical mode of that set of values; when two

- 229 modes exist, agents pick one at random, which adds a small degree of stochasticity to the
- 230 model. Human memories are more sophisticated in how they strengthen with repetition
- 231 (Zaragoza & Mitchell 1996; Hassan & Barber 2021), but the statistical mode is sufficient as
- a simple calculation for the dominant category by the agent, who represents a person or an
- entire office. Agent capabilities and preferences are homogeneous within runs, but become
- varied in their memories and choices as they learn their heterogeneous environment. The
- 235 agents' decision-making is focused on getting the problem in the next time step correct.

236 The hierarchy condition

- 237 We create a hierarchy condition in half of the simulations by including managers which
- receive information from, and send information to, the worker agents who are solving local
- 239 problems. These manager agents process the collection of local inputs into the statistical
- 240 mode and treat that as their prediction problem, then advise all worker agents based on
- their memory of these modes, as shown in Figure 2 box B. This communication process is a
- 242 very simple form of command hierarchy, free from additional complicating factors such as
- 243 social power, free-riding, punishment, and so on.
- How much workers weigh their manager's advice is manipulated either as a static value
- across a run—non-adjustable by agents—or as a value which agents can adjust. When
- adjustable, workers assess their problem response each round and can adjust the weights
- incrementally. Workers decide to adjust weights only when their memory and the manager
- input disagree. If their memory was the better choice, they up-weigh memory. If listening
- to the manager was the better choice, they up-weigh manager input. If both were right or
- both were wrong, they leave the weights unchanged.



251

Figure 2. The core elements of agent decision-making about the environment in the model.

Box A: A worker uses its memory of past timesteps to predict the future timestep, scoring a

point when it is correct and scoring no points when it is not. Box B: A worker weighs

255 manager input and its memory when predicting the next timestep. NOTE: The agents

cannot see the cells in future timesteps; these are revealed for reader convenience in box B.

257 Overview of the model's major routine

- 258 The routine of our model is as follows:
- 259 1. Communicate: if the group has a manager, workers communicate their last problem to
- the manager, and the manager communicates the statistical mode of the set of all workers'problems back to the workers.
- 262 2. Decide solution: all agents take the statistical mode of their memory and inputs.
- 263 3. View local environment and score decisions: workers compare the local environment
- value to their chosen solution and score one point if correct, zero points if incorrect. If
- workers are allowed to weigh manager input, they also adjust weights if needed.
- 4. Store true solutions: workers store the problem in memory. The manager stores thestatistical mode in memory.
- 268 5. Advance to next round: all workers advance one problem forward, and loop to step 1,
- 269 communicate. If workers have reached the end of the timesteps, all worker scores are270 summed for the group and the simulation ends.

271 Model Parameters

Table 1 contains the key parameters for understanding the model. Simulations includedevery combination of these parameters.

		Possible	
Parameter	Dynamic?	Values	Description
number-of- managers	No	[0, 1]	no-hierarchy vs. hierarchy
delayed- asynchrony	No	[0, 1]	Whether to delay environmental changes by 1 additional unit for each subsequent lane.
inverted- asynchrony	No	[0, 0.1, 0.2, 0.3, 0.4, 0.5]	Percent-as-decimal of total lanes (locales) where all values are inverted.
agent-mem- length	No	[3, 4, 5, 6, 7, 8, 9]	Number of past problem values each agent can store.
weight- others-input	Yes	[0, 0.25, 0.5, 0.75]	Weight agent puts on advice from other agents versus their own memory. A weight of 0 is equivalent to ignoring advice. If agents can adjust the weight, this variable is the starting weight.
weight-adj- increment	No	[0, 0.1, 0.2, 0.3, 0.4, 0.5]	How much an agent can adjust their input weight in one time step.

Table 1. Key parameters and their possible values in the model.

275 **Results**

- 276 We run 20 simulations for each unique parameter set, then calculate the mean score for
- those runs as the score of a typical run. The variance in score among the 20 runs per
- 278 parameter set does not substantially change the results of our analysis (see Supplementary
- 279 Material). To analyze how hierarchy affects performance, we group typical runs into pairs
- that are identical in their parameter settings except for the presence or absence of a
- 281 manager in the group, then subtract the no-hierarchy group score from the hierarchy group
- score. The resulting score is the difference hierarchy makes for that set of conditions: a
 negative score indicates that hierarchy fares worse, zero indicates no difference, and a
- 284 positive score indicates that hierarchy fares better than the equivalent no-hierarchy
- condition (see Figures 3–6). We are most interested in the relative differences of the
- 286 groups, which is most easily seen with larger groups: we use groups of 10 workers for this
- analysis. Smaller groups have the same differences but with smaller spread (see
- 288 Supplementary Material).

289 Hierarchy is generally better across all environments, but with lesser advantage

- 290 in more disordered environments
- 291 Our first research question asks in which environmental conditions hierarchy affects
- 292 performance. Broadly, hierarchy performs better than no-hierarchy in most environments,
- with the caveat that specific parameters do matter. In 87% of cases, hierarchy does better
- than no-hierarchy; hierarchy does worse than no-hierarchy in 6% of conditions, and in 7%
- of conditions hierarchy makes no difference. If those replication-sets with bounds that
- straddle zero are considered as zero instead of their mean, hierarchy does better in 75% of
- cases, worse in 4%, and makes no difference in 15% (see Supplementary Material).
- 298 The two types of environmental asynchrony have different effects on performance (Figure
- 3). Delayed-asynchrony, a source of mild disorder in the environment, does not reduce the
- 300 effectiveness of hierarchies; if anything, it gives hierarchy slightly more of a consistent
- 301 advantage over no-hierarchy within most parameter combinations. However, generating
- 302 stronger disorder (inverted asynchrony) in the environment decreases hierarchy's
- advantage to groups.
- 304 Since the manager communicates information about other locales, that manager should be
- 305 most useful when environments are synchronous, because then the information they
- 306 provide is highly relevant to each worker's own locale. If a worker puts weight on manager
- 307 input in these situations, they will switch their solution to match the environmental
- 308 problem sooner than they would based on their own memory of local states. This faster
- 309 switching enables workers to score higher when the environment is synchronous.
- Conversely, in environments with less synchrony, individual agents benefit little from
- 311 information about other locales, because they are not similar. In these environments,
- 312 manager's input about overall conditions is less pertinent to each worker's locale. Delayed
- 313 asynchrony conditions lead to tighter distributions, because the workers on the leading
- points of environmental transitions cannot switch quickly based on manager input. By the
- leading points when mean when their locale is changing and yet the overall environment is

316 in the previous state; see the top lane of the delayed environments in Figure 1. Two factors 317 are at play: one reducing group score, and one improving it. First, groups do not score as 318 highly because, unlike the synchronous condition, workers on the leading point lack a clear 319 signal to switch quickly when their locale changes. Second, while workers on the leading 320 point of the environmental transition are slower to switch to the right solution, manager 321 input helps those workers whose locales are in the middle of the transition timing switch 322 more quickly. Thus, while workers at these leading points score less, their information 323 about the environment, facilitated through the manager, enables the group overall to react

324 more quickly to transitions and score more than no-hierarchy groups.





326 Figure 3. Group performance by the extent of environmental asynchrony. Each point 327 shows the score difference between two matched-parameter set groups that vary only in 328 hierarchy versus no hierarchy. The y-axis shows the score difference by hierarchy, with 329 positive values indicating hierarchical groups perform better, negative values that they 330 perform worse. The x-axis shows increasingly disordered environments using the percent-331 as-decimal of local conditions that are the inverse of the base environmental condition. 332 Although hierarchical groups maintain advantage across environments, less synchrony 333 reduces the advantage of hierarchical groups, seen as inverted asynchrony increases on the x-axis. Adding asynchronous delay (right panel) tightens the distributions of scores 334 335 because the delay hurts the first-hit workers while also giving hierarchical groups warning 336 of the upcoming environmental transitions. The low-score outliers are shown in more 337 detail in Figure 5.

338 Groups generally perform better when individuals can adjust the weight they 339 give to the manager's input

340 Our second research question inquires into the importance of autonomy, modeled as 341 agents adjusting the weight they put on the manager's input in response to the perception 342 of its relevance to their local conditions. Hierarchical groups with agents with this 343 autonomy do better in nearly all conditions than non-hierarchical groups (Figure 4), 344 including in highly asynchronous environments (those where half the lanes in the environment are inverted). Larger weight adjustment increments (e.g., 0.5) have higher 345 346 standard deviations in performance, but lower increments are tighter and more skewed 347 toward lower performance. Groups that cannot adjust the weight given to manager input earn some of the lowest scores in the simulation. 348



349

350 Figure 4. Distributions of group performance by their weight adjustment increment. Each 351 point shows the score difference between two matched-parameter set groups that vary 352 only in hierarchy versus no hierarchy. The y-axis shows the score difference by hierarchy, 353 with positive values indicating hierarchical groups perform better, negative values that 354 they perform worse. The x-axis indicates the increment by which an agent adjusts the 355 weight they put on manager input, where the weight can be 0 to 1. Agents adjust their input 356 based on whether they or their manager correctly predicted the local environmental 357 condition. Hierarchical groups where workers can adjust the weight they put on manager 358 input perform better, as seen along the x-axis. The outliers in weight = 0 are shown in more 359 detail in Figure 5.

- 360 Groups benefit from starting with more weight on the manager's input even in 261 moderately asynchronous environments
- 361 moderately asynchronous environments
- 362 Our third research question asks about the importance of workers placing weight on the
- 363 manager's input versus their own experience. Workers without autonomy are the primary
- 364 focus of this question, as workers with autonomy can adjust the weight given to their
- 365 manager over the run regardless of the starting weight. In the no-autonomy condition,
- most hierarchical groups with a heavier starting weight (.75 vs. .5 or less) on the manager's
- input have a slight advantage over non-hierarchical groups, although this advantage
- 368 lessens in more disordered environments (Figure 5). Those hierarchical groups with low
- 369 memory (only 3 memory slots) perform much worse than non-hierarchical groups,
- 370 especially in more disordered environments.
- 371 In the autonomy condition, hierarchical groups perform better when starting with a higher
- weight on the manager's input, with one exception: again, the poor performance of groups
- in the low memory condition of 3 memory slots (left-column panels of Figure 6). As
- expected, for groups with autonomy, how much workers can adjust the weight matters
- 375 more than the starting value.



376

Figure 5. How starting weight relates to group performance in agents *without* autonomy.
Each point shows the score difference between two matched-parameter set groups that
vary only in hierarchy versus no hierarchy. The point shape indicates the starting weight
an agent places on manager input, where the weight can be 0 to 1. For example, an agent

- putting a weight of 0.75 on their manager's input would place 0.25 weight on their own
- 382 memory of their local environmental conditions. The x-axis shows increasingly disordered
- 383 environments using the percent-as-decimal of local conditions that are the inverse of the
- base environmental condition. All hierarchical groups shown are in a no-autonomy
- condition, where agents are never allowed to change their weights. Hierarchical groups
 have more advantage in more synchronous environments, especially when they put more
- weight on manager input, as seen at low values on the x-axis. Outliers in the left-column
- 388 panels show an exceptionally bad parameter combination for hierarchical groups where
- 389 low memory, high weight on manager input, and increasing asynchrony make their
- 390 performance much worse that no-hierarchy groups.



391

392 **Figure 6.** How starting weight relates to group performance in agents *with* autonomy. Each 393 point shows the score difference between two matched-parameter set groups that vary 394 only in hierarchy versus no hierarchy. The point shape indicates the starting weight an 395 agent places on manager input, where the weight can be 0 to 1. For example, an agent 396 placing a weight of 0.75 on their manager's input would place 0.25 weight on their own 397 memory of their local environmental conditions. In this autonomy condition, agents are 398 allowed to change their weights, once each time unit, by their set weight adjustment 399 increment. Agents adjust their input weights based on whether they or their manager 400 predicted the local environmental condition correctly. The x-axis shows increasingly 401 disordered environments using the percent-as-decimal of local conditions that are the 402 inverse of the base environmental condition. As seen when going through the panel

- 403 columns left-to-right, higher memory length and autonomy (shape-point color) generally
- 404 enable hierarchical groups to substantially outperform no-hierarchy groups. As asynchrony
- 405 increases (x-axis within each panel) the advantage of hierarchy decreases, but still remains
- 406 at advantage in groups with memories better than 3. As also shown in Figure 3, delay
- 407 asynchrony tightens the score distributions because hierarchical groups can take
- 408 advantage of first-hit members who have lower worker scores but act as early warning for
- 409 the group for the upcoming environmental transitions.

410 Memory length matters, especially in more synchronous environments

- 411 Although we did not initially target memory as a factor to explore in the model, our analysis
- shows it played a substantial role. Longer memory is correlated with better performance,
- as seen in Figure 6. Yet, larger memory is necessary, but not alone sufficient, for higher
- 414 group performance in all environments. The biggest advantages are gained by high-
- 415 worker-autonomy hierarchical groups in synchronous environments (low values on the x-
- 416 axis in Figure 6). No-autonomy hierarchical groups still gain some advantage from
- 417 increased memory, but far less (Figure 5). While in highly asynchronous environments the
- 418 gains are not as great, larger memories combined with autonomy enable hierarchical
- 419 groups some advantage; without autonomy, increased memory gives no advantage to
- 420 hierarchical groups at all in asynchronous environments.

421 **Discussion**

- 422 Our model suggests that command hierarchies may be so common because they provide
- 423 benefits in many different environments. It also suggests that the tension hierarchies often
- 424 contain—a tension between workers and management over the right understanding of the
- 425 environment—is inherent in most environments with some local variability. This tension is
- rooted in the two different scales of views that inevitably play out in individual and
- 427 organizational decision-making. We demonstrate that considering hierarchical
- 428 organizations within changing environments can substantially improve our understanding
- 429 of when and why we make them.
- 430 We show that organizational hierarchies—with the right relations between management
- 431 and workers—can actually benefit from the inherent computational dilemma caused by the
- 432 difference between the view from the ground versus the view from the top. However, this
- 433 dilemma and resulting tension is not *resolved* (it is unresolvable); it is only *managed* by the
- 434 structure of relations in the organization itself (and not just the managers). This tension
- 435 inherent in hierarchy questions and complicates the standard story of beneficial
- 436 hierarchy—that of asymmetrical influence that provides a way to reduce conflict and
- 437 generate or impose consensus (e.g., Tabary 1991, Bunderson et al. 2015, Perret et al. 2020).
- 438 Our story aligns, instead, with a lesser-known tradition of seeing hierarchy as an element of
- 439 collective learning (this has been proposed in economics since at least Frank Knight and
- 440 Ronald Coase; see Foss (1996)). These two perspectives are complementary, not mutually
- 441 exclusive. However, we emphasize that our model shows not a coordination or consensus
- 442 problem, but a collective computation resulting from individual/local and
- 443 managerial/global views and the decision-making that results.

444 We add a different and complementary notion of adaptation in organizations in relation to

- their environments. Generally, adaptive organizations provide employees with flexibility to
- tailor their tasks to local information (Dessein & Santos 2006). Previous work on
- 447 adaptation tends to focus on how organizations simultaneously explore and exploit an
- environment (O'Reilly & Tushman 2008) or how workers labor toward the same goal via
 task bundling (e.g., Dessein & Santos 2006); instead, our workers have different local goals
- 450 that are just similar enough across the organization to enable workers to benefit from a
- 451 manager's aggregated "high-level" information. This different dynamic adds to our overall
- 452 understanding of how organizations adapt, and demonstrates another way that effective
- 453 organizational decision-making depends on the collocation of uncovered information and
- the right to act on that information (Chang & Harrington 2006; Ostrom 2005). Most real
- 455 organizations operate in environments that have some local variation that could feasibly
- 456 lead to differences in worker-level and manager-level views of the landscape, whether it be 457 physical, legal, financial, or otherwise. The agents in our model, while stylized as workers,
- physical, legal, financial, or otherwise. The agents in our model, while stylized as workers,
 could be just as easily stylized as local offices within a multinational corporation or a
- 459 national government trying to do their best while balancing the advisements of a head
- 460 office. This model thus informs debates about centralized versus decentralized
- 461 organizational control that seek more insight into the complexity involved with
- 462 organizational forms (Beunen & Opdam 2011; Mualam 2018).
- 463 Organizations operating in varied environments may inherently be managing some
- 464 *unavoidable* spatial and temporal scale conflict in their organizational decision-making.
- 465 Indeed, internal asynchrony is common for organizations adapting and learning (Launis &
- Pihlaja 2007). Future research would benefit from considering how these computational
- dilemmas may affect broader spatial and temporal mismatches (Cumming et al. 2006).
- 468Better understanding of the drivers of these mismatches is important for resource
- 469 management (e.g., Sayles & Baggio 2017), as well as public administration (e.g., Durose
- 470 2009) and business (e.g., Fayezi, Zutshi, & O'Loughlin 2014).
- 471 More abstractly, the managers help the workers by coarse-graining the environment,
- 472 providing some system-level knowledge for their computations. In systems generally,
- 473 coarse-grained variables act as better predictors of the local system than the states of
 474 fluctuating micro-components (Flack 2017). In systems like our hierarchical groups, this
- 474 nucluating micro-components (riack 2017). In systems like our nierarchical groups, this 475 course-graining forms part of the organizational memory. In our relatively simple model,
- 476 we see two important implications for future research considering organizational memory
- 477 from a computational perspective: first, coarse-grained views and local views can be
- 478 different subsystem memories that are useful when processed into decision-making; and
- 479 second, worker autonomy plays a key role in the usefulness of organizational memory to
- 480 performance. Put a different way, one may mistakenly discount the utility of different
- 481 memory subsystems that conflict with one another if the decision-making processes are
- 482 not considered. Decision-making processes—which arguably include autonomy as a key
- 483 factor—may turn this tension into beneficial organizational learning and adaptation. We
- see promise in investigating organizational memory with this computational lens, and,
- 485 more broadly, connecting organizational dynamics to more general systems dynamics.
- Both can shed light on why human organizational methods are effective, and why they
- 487 persist.

488 Limitations and future directions

Agent-based models of human organizations usually include environments, agents, and
social relations among agents, but not all can be made sophisticated and still keep analysis
feasible. In this case, we tried to keep all three as simple as possible while employing the
minimum factors necessary for a command hierarchy in a changing environment. A more

- 492 complex model with more sophisticated agents and social relations may well have hidden
- 494 or muddled the role of the environment in affecting group performance. We view this
- 495 simplicity as a strength of the model, but it also signals directions for future work: how
- 496 more sophisticated environments, agents, or networks may extend or enrich these findings.
- 497 Our model uses two categorical states for local conditions, and could be extended to more
- 498 states, or even a continuous environment that the agents experience discretely. The agents
- themselves make perfect decisions with perfect (although limited) memory, raising the
- 500 question of how noise, imperfection, and incompetence may affect the usefulness of
- 501 hierarchy. The organizational networks are simple, too, being only one manager and a set
- 502 of workers. The benefits of hierarchy in our environments may shift with larger
- 503 organizations containing divisions and layers of middle management, as larger companies
- so often have.
- 505 Although our model does not include social power relations, the sensitivity of group
- 506 performance to worker autonomy in most environments leaves the door open for
- 507 extending the model to investigate power dynamics, especially those related to self-serving
- 508versus group-serving behaviors (Anderson & Brion 2014). This goes beyond decision-
- 509 making to connect to other areas in organization science; for example, critical perspectives
- 510 on organizational memory and power can leverage a computational approach to consider
- 511 how the type of environment may affect the organizational impacts of power relations.
- 512 More broadly, organizational memory studies face a need to enhance standard views of
- 513 organizational memory with considerations of power dynamics (Foroughi et al. 2020)—a
- 514 computational approach can help bridge perspectives investigating the role of power.
- Although memory was not our focus in this model, a derivative of this work could
- 516 investigate organizational memory specifically. The need is there: organizational memory
- 517 studies currently lack methods for finding key boundary conditions for organizational
- 518 remembering and forgetting, and this is an important objective for the field (Foroughi et al.
- 519 2020). Relatedly, the groups in our model also seem to benefit from very simplistic
- onboarding of environmental knowledge. A higher starting weight on the manager's input
- 521 seems to help fill in some of the workers' initial lack of experience. As expected, this
- 522 supplemental "lateral" experience is more valuable in more synchronous environments.
- 523 Organizational memory, similar to adaptation, needs to consider different types of
- challenges, from coordination to the high-view-low-view dilemma we show here.
- 525 This inherent tension between workers and managers, rooted in environmental change,
- 526 may or may not be an important factor in any particular organization's context. However,
- 527 with our findings in mind, we hope that researchers who seek to understand real, messy
- 528 organizations can at least be aware, if not account for, this particular tension at the heart of
- 529 our finding. Even if other organizational factors outweigh this factor in most situations—

- 530 itself a possibility in need of future testing—the role it plays in real organizations remains
- an important empirical inquiry.
- 532 Lastly, we focus only on command hierarchies in this paper rather than rank or nested
- 533 hierarchies. We acknowledge that, in reality, people inhabit organizations and social
- relations that simultaneously exhibit all three types of hierarchy in overlapping and
- 535 interacting layers. In rich, messy social reality, no pure form of hierarchy exists, but this
- 536 should not deter us from trying to understand the dynamics of one type of hierarchical
- relation. If anything, understanding the constituents will help us understand the whole.
 This hierarchical model may thus act as a building block for, or bridge to, models that can
- exhibit traits of panarchy (Holling 2001; Cosens & Gunderson 2018), heterarchy (Crumley
- 540 1995; Cumming 2016), and/or polycentricity (Aligica & Tarko 2012; McGinnis & Ostrom
- 541 2012) that we see in real social systems.

542 Conclusion

- 543 We demonstrate that command hierarchies bestow advantages to organizations dealing
- 544 with environmental changes across local conditions. However, in most environments, there
- are only small benefits (and sometimes catastrophic losses) when managers directly
- 546 control workers and give them no say; higher performance results from workers receiving
- 547 manager input while also having some autonomy in decision-making. Hierarchical
- 548 organizations that employ this strategy can outperform non-hierarchical organizations in
- even highly varied environments where local environmental changes occur at different
 times across the organization. Thus, hierarchical organizations can still be adaptable
- 550 organizations if workers have sufficient autonomy. For those environments with high
- 552 degrees of synchrony among local conditions, hierarchies are able to generate the greatest
- 553 performance gain over non-hierarchies.
- 554 We make two broad contributions to understanding organizations. First, we show the
- importance of considering the local environments (in both space and time) over which an
- 556 organization operates; different environments may enable and/or constrain the
- 557 effectiveness of organizational structures. Second, we show that the inevitable,
- 558 unresolvable tension between workers and management on the "right" view of an
- uncertain and changing environment can benefit organizations as a whole, if the
- organization has the right combination of structure and culture that enables manager input
- 561 and worker autonomy.

562 Supplementary Material

563 The model specification (ODD protocol), model code, and additional analysis can be found

at https://www.comses.net/codebase-release/236e4c0e-122c-44c6-b690-560976e0da5e/

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